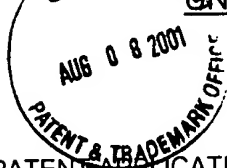


Rec'd PCT/PTO 08 AUG 2002 #6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION

UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)



COMPLETION
For PCT Cases Only

Attn: BOX PCT

In re PATENT APPLICATION of:

Inventor(s): KOZLOWSKI, Joachim
Appln. No.: 09/830649
National Phase Field
Based on PCT PCT/DE/00/02965

Attorney Docket No.: TK 102

Date: August 8, 2001

FILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASE

Asst. Commissioner of Patents
Washington DC 20231

Sir:

The following **completes the filing** of the subject application under Rule 494(c)/495(c). Please accept the following **attached** items:

1. Missing Requirements Notice (PCT/DO/EO/905) ☒ copy attached ☐ not yet received
2. ☒ **Signed Declaration** ☒ Original ☐ Facsimile/Copy ☐ with specs/claims attached
3. ☐ **Translation** of the International Application into English including:
 - a. ☐ Request;
 - b. ☐ Abstract
 - c. ☐ pgs. Spec. and Claims
 - d. ☐ Translation verification
 - e. ☐ sheets Drawing which are: ☐ informal ☐ formal of size ☐ A4 ☐ 11"
4. ☐ Copy of **International Search Report (ISR)** attached (☐ page(s))
 - a. plus Annex of family members (☐ page(s))
5. ☐ **Information Disclosure Statement** including
 - a. ☐ Form PTO-1449 listing documents
 - b. ☐ Copies of document(s) listed on Form PTO-1449
 - c. ☐ A concise explanation of ISR references is given in the ISR
6. ☐ **Assignment** and cover sheet. Please return the recorded assignment to the undersigned.
7. ☐ Copy of Power to international application agent.
8. ☐ (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.
9. ☐ Formal Drawings: ☐ sheet(s) ☐ informal; ☐ formal of size: ☐ A4 ☐ 11"
10. ☒ Please immediately start national examination procedures (35 USC 371(f))
11. ☐ Attached:

12. ☒ Preliminary Amendment Attached:

13. ☒ Basic U.S. National fee per rule 492(a)(1)-(4) was previously timely filed.

14. Calculation of remaining fees due (if any): based on amended claim(s) per above item

☐ 12 (above) or items(s) in PAT-112 (filed previously)

☐ 12 ☐ 14 ☐ 17 ☐ 25

15. CLAIMS FEES ☐ previously paid ☐ paid herewith as follows:

15A. Small Entity Statement ☐ herewith ☐ previously filed

				Large Entity/Small Entity	Amount
16. Total Effective Claims		Minus 20 =		X \$18/\$9	+0
17. Independent Claims		Minus 3 =		X \$80/\$40	+0
18. If any proper multiple dependent claim (ignore improper) is present				\$270/\$135	+0
19. Filing Declaration late, fee paid <input checked="" type="checkbox"/> previously <input type="checkbox"/> now				\$130/\$65	+0
20.				SUBTOTAL =	\$
21. Original due date:					
22. Petition is hereby made to extend the original due date to (1 mo)				\$110/\$55 =	+0
cover the date this response is filed for which the requisite fee (2 mos)				\$390/\$195 =	
is attached (3 mos)				\$890/\$445 =	
(4 mos)				\$1390/\$695 =	
23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee.....				\$130	+0
24. If "assignment" box 6 is X'd, add recording fee.....				\$40	+0
				Total Fee Enclosed:	\$

25.

Our Deposit Account No.: 501860

Our Order No. TK102

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless and issue fee transmittal form is filed.

The Law Offices of
Timothy J. Klima
One Massachusetts Avenue NW
Suite 330
Washington DC 20001
(202) 289-2556 Phone
(202) 408-8620 Fax

By Atty.: Timothy J. Klima

Reg. No.: 34852

Sig:

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/830649	KOZLOWSKI	TK102

THE LAW OFFICES OF
TIMOTHY J KLIMA
ONE MASSACHUSETTS AVENUE N W
SUITE 330
WASHINGTON, DC 20001

INTERNATIONAL APPLICATION NO.

PCT/DE00/02965

I.A. FILING DATE	PRIORITY DATE
29 AUG 00	30 AUG 99

DATE MAILED:

11 JUN 2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☒ a Designated Office (37 CFR 1.494) ☐ an Elected Office (37 CFR 1.495):

- | | |
|---|--|
| <input checked="" type="checkbox"/> U.S. Basic National Fee. | <input checked="" type="checkbox"/> Indication of Small Entity Status. |
| <input checked="" type="checkbox"/> Copy of the international application. | <input checked="" type="checkbox"/> Translation of the international application into English. |
| <input type="checkbox"/> Oath or Declaration of inventors(s). | <input type="checkbox"/> Translation of Article 19 amendments into English. |
| <input type="checkbox"/> Copy of Article 19 amendments. | <input type="checkbox"/> Other: |
| <input checked="" type="checkbox"/> Priority Document. | |
| <input type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any. | |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English. | |

2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☐ U.S. Basic National Fee. ☐ Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☐ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed: ☐ PCT/DO/EO/917
☐ PTO-875

☐ Notice of Defective Translation
☐ PCT/DO/EO/920

Barbara A. Campbell

RECEIVED PCT/PTO 08 AUG 2002

#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

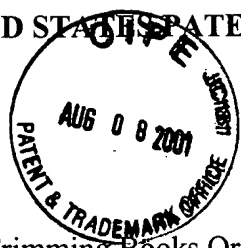
In re Patent Application of:

Joachim KOZLOWSKI

Appln. No.: 09/830649

Filed: April 30, 2001

Title: Machine Knife For Trimming Books Or The Like



Group Art Unit:

Examiner:

Date: August 8, 2001

CHANGE OF ATTORNEY'S PHONE NUMBER IN APPLICATION

Asst. Commissioner of Patents
Washington DC 20231

Sir:

Effective immediately, please change the correspondence phone number(s) to:

(202) 289-2556 Phone

(202) 408 8620 Fax

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Timothy J. Klima".

Timothy J. Klima
Reg. No. 34,852

The Law Offices of
Timothy J. Klima
One Massachusetts Avenue NW
Suite 330
Washington DC 20001